



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 FIL FEE REC'D IND CLMS APPL NO. ART UNIT ATTY.DOCKET NO DRAWINGS TOT CLMS (c) DATE 2 20 10/510.069 10/04/2004 1624 750 21074YP

**CONFIRMATION NO. 5612** 

210 MERCK AND CO., INC P O BOX 2000 RAHWAY, NJ 07065-0907 JUN 28 2005 **FILING RECEIPT** 

CHRISTINE A. CUF OC000000016308396\*

Date Mailed: 06/22/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Mark T. Bilodeau, Lansdale, PA; Mark E. Duggan, Horleysville, PA: John C. Hartnett, Conshohocken, PA; Craig W. Lindsley, Schwenksville, PA; Peter J. Manley, Harleysville, PA; Zhicai Wu, Quakertown, PA; Zhijian Zhao, Wilmington, DE;

Power of Attorney: The patent practitioners associated with Customer Number 210.

# Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/10442 04/04/2003 which claims benefit of 60/370,847 04/08/2002 and claims benefit of 60/417,174 10/09/2002 \* (\*)Data provided by applicant is not consistent with PTO records.

# **Foreign Applications**

Projected Publication Date: 09/22/2005

Non-Publication Request: No

Early Publication Request: No

MATTHEW LEFF

JUN 29 2005

PATENT DEPARTMENT

Title

Inhibitors of akt activity

**Preliminary Class** 

514

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject

matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

# **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Substitute for PTO/SB/21 (09-04)"Transmittal Form"
Approved for use through 07/31/2006. OMB 0651-0031

IFW 1624

			roved for use through 07/31/2006. OMB 0651-0031 nark Office; U.S. DEPARTMENT OF COMMERCE
TOTTE S		Application Number	10/510,069
JUL 2 8 2005 PRANSMITTAL FORM  FORM  The property of the correspondence after initial filing)		Filing Date	October 4, 2004
		First Named Inventor	Mark T. Bilodeau
		Art Unit	To be determined
		Examiner Name	To be determined
Total Number of Pages in This Submission	57	Attorney Docket Number	21074YP

for all correspondence after initial filing)		Art Unit	To be determined				
for all correspondence after in	Examiner Name	To be determined					
Total Number of Pages in This Submission				21074YP			
ENCLOSURES (Check all that apply)							
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Change of Corn Terminal Discl Request for Re CD, Number o  Remarks Supplemental Preli	vert to a plication ney, Revocation respondence Address aimer fund	to Tech Appeal of Appeal (Appeal Proprie Status I	After Allowance Communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Name Matthew A. Left Registration No. (Attorney/Agent) 50,149		50,149					
Signature		Date		7/26/2005			
- Ja III II - II							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date: July 26, 2005							
Typed or printed name Stephanie R. Ricardo							
Signature Date July 26, 2005				5			



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In re application of: MARK T. BILODEAU, ET AL
Serial No. 10/510,069
Filed October 4, 2004
Group Art Unit
Examiner To be determined
For: INHIBITORS OF AKT ACTIVITY

Transmitted herewith is an amendment in the above-identified application.

No additional fee is required.

The fee has been calculated as shown below.

# **CLAIMS AS AMENDED**

			O=====================================			
(1)	(2)	(3)	(4)	(5)	(6)	(7)
	Claims remaining after amendment		Highest Number Previously Paid For	Present Extra	Rate	Additional Fee
Total Claims	*	-	** =	X	\$50	=0.00
Independent Claims	*2	-	***=	X	\$200	=0.00
Multiple Dependent Claims					\$360 ****	=
			TOTAL ADDITIONAL F	EE FOR THIS AMEND	MENT	0.00

- \* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.
- \*\* If the "Highest Number Previously Paid For" in this space is less than 20, write "20" in this space.

  \*\*\* If the "Highest Number Previously Paid For" in this space is less than 3, write "3" in this space.
- \*\*\*\* Add this fee only if application is amended to include multiple dependent claims (regardless of number) and no multiple dependent claims were originally filed.

Charge \$ <u>0.00</u>	to Deposit Account	No. 13-2755.	Please charge any	additional f	ees or credit
overpayment to Deposit Acc	count No. 13-2755.	A duplicate co	opy of this sheet is	enclosed.	

Respectfully,
That ITH
By: Matthew A. Leff
Attorney for Applicant(s)
Reg. No. 50,149
MERCK & CO., INC.
Patent Dept., RY60-30
P.O. Box 2000
Rahway, N.J. 07065-0907
(732) 594- <u>1404</u>

IN DUPLICATE

Date: <u>July 26, 2005</u>